

INDICATIVE AUDIT COMMITTEE WORKPLAN 2013/14

NOTE :-

Proposed **substantive** items to be presented and discussed have been put in **bold**.
Proposed *information* items that will not be presented are in *italics*
Standing 'business' items are in normal font

* Awareness / Briefing Session to be held prior to the meeting

| Mtg. No. | Meeting Date | Audit Matters (Internal and External) | Risk Management Matters | Statutory Accounts / Financial Management | General Controls Assurance / Audit Committee General / Other |
|----------------|---|--|---|--|--|
| 2013/14 | | | | | |
| 5 | Wednesday 11 th December 2013 * | <ul style="list-style-type: none"> • Review of the Effectiveness of Internal Audit – Action Plan Update • Internal Audit Recommendations Implementation Update • External Audit – Progress Report & Technical Update <ul style="list-style-type: none"> • Private item with the Internal Auditor and External Auditor (as required) | <ul style="list-style-type: none"> • SRR Review • Risk Management Update Report | | <ul style="list-style-type: none"> • Annual Governance Statement 2012/13 Action Plan Update • Review of Ombudsman Complaints 2012/13 • Fraud Management Update Report • Audit Committee Workplan 2013/14 |
| 6 | Wednesday 22 nd January 2014 * | <ul style="list-style-type: none"> • Internal Audit Quarterly Report 20013/14 (Qu.3) • External Audit - Certification of Claims & Returns Annual Report 2012/13 • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor (as required) | | | <ul style="list-style-type: none"> • Annual Governance Statement Framework Review • Local Code of Corporate Governance • <i>Performance Review Panel – Summary Report</i> • Audit Committee workplan 2013/14 |
| 7 | Wednesday 26 th March 2014 | <ul style="list-style-type: none"> • Internal Audit Charter and Strategy • Internal Audit Plan 2014/15 • External Audit Recommendations Monitoring Report: <ul style="list-style-type: none"> • Annual Audit Letter 2012/13 • Annual Governance Report • External Audit – 2013/14 Audit - Audit Plan • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor (as required) | <ul style="list-style-type: none"> • Risk Management Policy & Strategy • Strategic Risk Register Review | <ul style="list-style-type: none"> • Treasury Management Policy & Strategy Statements 2014/15 • <i>2014/15 Budget Proposals</i> • <i>Corporate Finance and Performance Management & Capital Programme Update</i> | <ul style="list-style-type: none"> • Information Governance Update Report • Audit Committee workplan 2013/14 |

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|----------|--|---|-------------------------|---|---|
| 8 | Wednesday 23 rd April 2014 | <ul style="list-style-type: none"> • Internal Audit Quarterly Report 2013/14 (Qu4) • External Audit – Progress Report & Technical Update • Private item with the Internal Auditor and External Auditor (as required) | | | <ul style="list-style-type: none"> • Corporate Whistleblowing Policy Review and Update • Audit Committee Review of Terms of Reference and Self-Assessment • Audit Committee – Training Review and Skills Self-Assessment • Audit Committee workplan 2013/14 |

To Note

- Awareness/briefing sessions have been arranged for members prior to the following meetings*
 - 11th December 2013
 - 22nd January 2014
- Further Audit Committee meeting have been planned for the following dates. A workplan will be issued nearer to the date.
 - 11th June 2014
 - 23rd July 2014
 - 22nd September 2014
 - 5th November 2014
 - 10th December 2014